## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Fine of Type	Responses)																	
Name and Address of Reporting Person  Brooks Jason			2. Issuer Name and Ticker or Trading Symbol ROCKY BRANDS, INC. [RCKY]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)X_ Director 10% Owner								
(Last) (First) (Middle) 39 EAST CANAL STREET				3. Date of Earliest Transaction (Month/Day/Year) 01/02/2020							X Officer (give title below) Other (specify below)  President and CEO							
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)							6. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person  Form filed by More than One Reporting Person							
NELSONVILLE, OH 45764 (City) (State) (Zip)				Table I - Non-Derivative Securities Acqu						ured, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		te, if (	(Instr. 8)		4. Securities Acqui (A) or Disposed of (Instr. 3, 4 and 5)		of (D)	Transaction(s) (Instr. 3 and 4)				6. Ownershi Form: Direct (D or Indirect (I) (Instr. 4)	p of I Ber Ow	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common S	Stock with	out par value	01/04/2020				M		750	A	1	11,18	88			D		
								displa	ys a cu	rrently v	alid O	MB co	ontrol n	umber.				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, it	4. Transac Code	s, calls 5 ction of I S S (((((((((((((((((((((((((((((((((	s, warr 5. Num	rants, aber tive ies ed ed of		osed of onvertil xercisab n Date	, or Benef ole securi	ficially (ties)  7. Title of Und Securi	Owned	d Amount	8. Price of	9. Number Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owne Form Deriv Secur Direct or Ind	of ative ity: t (D)	11. Natu of Indire Benefici Ownersl (Instr. 4)
Derivative Security	Conversion or Exercise Price of Derivative	Date	3A. Deemed Execution Date, it	4. Transac Code	s, calls 5 ction of I S S (((((((((((((((((((((((((((((((((	s, warr 5. Num of Derivat Securiti Acquire (A) or Dispose (D) Instr. 3	rants, aber tive ies ed ed of	options, c 6. Date E Expiratio	posed of onvertil exercisable in Date day/Year	or Beneficial security of the	ficially (ties)  7. Title of Und Securi	Owned e and A derlyin ities 3 and	d Amount	8. Price of Derivative Security	Derivative Securities Beneficially Owned Following Reported Transaction	Owne Form Deriv Secur Direct or Ind	of ative ity: t (D)	of Indire Benefici Ownersh
Derivative Security	Conversion or Exercise Price of Derivative	Date	3A. Deemed Execution Date, it	(e.g., put) 4. f Transac Code (Instr. 8)	s, calls  tetion of I  S  (I  I  V	s, warr 5. Num of Derivat Securiti Acquire (A) or Dispose (D) (Instr. 3	rants, aber tive ies ed ed of 3, 4,	nired, Dispoptions, c 6. Date Expiratio (Month/I	eosed of onvertil xercisabn Date Day/Year	or Beneficial security of the	ficially (ties)  7. Title of Und Securi (Instr.  Title	Owned e and A derlyin the and A and	Amount g 4)  Amount or Number of	8. Price of Derivative Security	Derivative Securities Beneficially Owned Following Reported Transaction	Owne Form Deriv Secur Direct or Ind	ership of ative ity: t (D) lirect	of Indire Benefici Ownersl

### **Reporting Owners**

P ( 0 N /	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Brooks Jason 39 EAST CANAL STREET NELSONVILLE, OH 45764	X		President and CEO				

## **Signatures**

Jeremy D. Siegfried, Attorney-in-Fact	01/06/2020
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests 20% per year beginning on the first anniversary of the date of grant.
- (2) Each restricted stock unit represents a contingent right to receive one share of Issuer's Common Stock.
- $\textbf{(3)} \ \ Restricted \ stock \ unit \ vests \ 25\% \ per \ year \ beginning \ on \ the \ first \ anniversary \ of \ the \ date \ of \ grant.$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.