SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Winbigler Tracie A.			2. Issuer Name and Ticker or Trading Symbol <u>ROCKY BRANDS, INC.</u> [RCKY]		tionship of Reporting Person(s) all applicable) Director	to Issuer 10% Owner
(Last) (First) (Middle)		(Middle)	 3. Date of Earliest Transaction (Month/Day/Year) 01/01/2023 		Officer (give title below)	Other (specify below)
39 EAST CANAL	STREET		4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Filing (Che	,
(Street)				X	Form filed by One Reporting Form filed by More than One	
NELSONVILLE	OH	45764			Tom med by more than one	s reporting r erson
(City)	(State)	(Zip)				
		Table I - Non-Deri	vative Securities Acquired, Disposed of, or Beneficia	lly Ow	ned	

	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I)	Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, without par value	01/01/2023		A		762	Α	\$0.0000	4,062	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 8. Price of Derivative 11. Nature of Indirect 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 9. Number of 10 ecurities Underlying Derivative Conversion Date Execution Date Transaction Derivative Expiration Date derivative Ownership Security (Instr. 3) or Exercise (Month/Day/Year) Code (Instr. Securities (Month/Day/Year) **Derivative Security** Securities Form: Beneficial if any Security Direct (D) Ownership Price of (Month/Dav/Year) 8) Acquired (A) (Instr. 3 and 4) (Instr. 5) Beneficially

	Derivative Security	(or Disp (D) (Ins and 5)	osed of			((Owned Following Reported Transaction(s)	or Indirect (I) (Instr. 4)	(Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		

Explanation of Responses:

Jeremy D. Siegfried, Attorney-in-01/03/2023 Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average burden							
hours per response: 0.5							