SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Brooks Jason					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ROCKY BRANDS, INC.</u> [ RCKY ]									(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 39 EAST CAN	(First) NAL STRE	`	/liddle)	3. Date of Earliest Transaction (Month/Day/Year) 01/01/2023									X	Officer (g below) Chr	ive title nn, Presic	dent a	Other (s below) and CEO	specify	
(Street) NELSONVILLE OH (City) (State)			5764 (ip)	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indiv	<ul> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
				Date	Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Code (Instr.			ties Acquired (A) or d Of (D) (Instr. 3, 4 and			5. Amount Securities Beneficiall Following Transactio	y Owned or In Reported (Ins		: Direct (D) lirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount	(A) o (D)	r	Price (Instr. 3 and					
			Table II - I (						,	•	,	or Benefi le securi			ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Y	te, Tr. Co	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported	; Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Co	ode	v	(A)	(A) (D)		Date E Exercisable D		or Nu		Amount or Number of Shares		Transaction(s) (Instr. 4)			
Restricted Stock Unit	(1)	01/01/2023			A		4,233		01/01/202	.6 0	01/01/2026	Common Stock, without pa		4,233	\$0.0000	4,233		D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Issuer's Common Stock.

Jeremy D. Siegfried, Attorney-in-	01/04/2022			
Faat	<u>01/04/2023</u>			

\*\* Signature of Reporting Person

Fact

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.