FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| $\sim$ | ΛD | AP | םם | $\sim$ | / A |
|--------|----|----|----|--------|-----|
|        |    |    |    |        |     |

hours per response:

| OMB Number:          | 3235-0287 |
|----------------------|-----------|
| Estimated average by | ırdan     |

0.5

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defense of 10b5-1(c). See Instr |                      |          |                                                                         |                                                                         |                                                      |                                         |  |  |
|---------------------------------------------|----------------------|----------|-------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------------------------------------------|-----------------------------------------|--|--|
| 1. Name and Address  Brooks Jason           | of Reporting Person* |          | 2. Issuer Name and Ticker or Trading Symbol ROCKY BRANDS, INC. [ RCKY ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                                      |                                         |  |  |
| (Last) 39 EAST CANAL                        | (First)              | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/17/2025             | X                                                                       | Director  Officer (give title below)  Chmn, Presider | 10% Owner Other (specify below)         |  |  |
| (Street)                                    | STREET               |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                | 6. Individual or Joint/Group Filing (Check Applicable Line)             |                                                      |                                         |  |  |
| NELSONVILLE                                 | ОН                   | 45764    |                                                                         | X                                                                       | Form filed by One Report Form filed by More than     | orting Person<br>n One Reporting Person |  |  |
| (City)                                      | (State)              | (Zip)    |                                                                         |                                                                         |                                                      |                                         |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transac<br>Code (Ir<br>8) |   | 4. Securities Ad<br>Disposed Of (D |               |       | Securities | Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--------------------------------------------|-------------------------------------------------------------|---------------------------------|---|------------------------------------|---------------|-------|------------|---------------------------------------------------|-------------------------|
|                                 |                                            |                                                             | Code                            | v | Amount                             | (A) or<br>(D) | Price |            |                                                   | (Instr. 4)              |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (In<br>8) |   |       | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                 | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                          | Derivative                 | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported |                              | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|---------------------------------|---|-------|----------------------------------------------|---------------------|--------------------------------------------------------------------------------------------|------------------------------------------|----------------------------|--------------------------------------------------------------------------------------------|------------------------------|--------------------------------------------------------------------|--|
|                                                     |                                                                       |                                            |                                                             | Code                            | v | (A)   | (D)                                          | Date<br>Exercisable | Expiration<br>Date                                                                         | Title                                    | Amount or Number of Shares |                                                                                            | Transaction(s)<br>(Instr. 4) |                                                                    |  |
| Restricted Stock<br>Unit                            | (1)                                                                   | 03/17/2025                                 |                                                             | A                               |   | 6,233 |                                              | 03/17/2027          | 03/17/2027                                                                                 | Common<br>Stock,<br>without par<br>value | 6,233                      | \$0.0000                                                                                   | 6,233                        | D                                                                  |  |

## Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Issuer's Common Stock.

Jeremy D. Siegfried, Attorney-in-Fact 03/18/2025

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.